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Phytoplankton growth formulation in marine ecosystem models: should we take into account photo-acclimation and variable stoichiometry in oligotrophic areas?

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Abstract

The aim of this study is to evaluate the consequences of accounting for variable Chl:C (chlorophyll:carbon) and C:N (carbon:nitrogen) ratios in the formulation of phytoplankton growth in biogeochemical models. We compare the qualitative behaviour of a suite of phytoplankton growth formulations with increasing complexity: 1) a Redfield formulation (constant C:N ratio) without photo-acclimation (constant Chl:C ratio), 2) a Redfield formulation with diagnostic chlorophyll (variable and empirical Chl:C ratio), 3) a quota formulation (variable C:N ratio) with diagnostic chlorophyll, and 4) a quota formulation with prognostic chlorophyll (dynamic variable). These phytoplankton growth formulations are embedded in a simple marine ecosys-

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tem model in a 1D framework at the Bermuda Atlantic Time-series (BATS) station. The model parameters are tuned using a stochastic assimilation method (micro-genetic algorithm) and skill assessment techniques are used to compare results. The lowest misfits with observations are obtained when photo-acclimation is taken into account (variable Chl:C ratio) and with non-Redfield stoichiometry (variable C:N ratio), both under spring and summer conditions. This indicates that the most flexible models (i.e., with variable ratios) are necessary to reproduce observations. As seen previously, photo-acclimation is essential in reproducing the observed deep chlorophyll maximum and subsurface production present during summer. Although Redfield and quota formulations of C:N ratios can equally reproduce chlorophyll data the higher primary production that arises from the quota model is in better agreement with observations. Under the oligotrophic conditions that typify the BATS site no clear difference was detected between quota formulations with diagnostic or prognostic chlorophyll.

Keywords: Biogeochemical modelling, Phytoplankton, Photo-acclimation, Redfield ratio, Internal quota, BATS, Optimization, Micro-genetic algorithm.

1. Introduction

During the last twenty years, marine ecosystem (or biogeochemical) models have been widely used to study the response of primary production to perturbation of the physical environment along a wide range of temporal and spatial scales. Most of these models follow the same general structure: they use nitrogen as the main currency, and account for a simplified food-web

7 which generally includes phytoplankton and zooplankton, and a regeneration
8 network with detritus, dissolved organic nitrogen, and various nutrients (i.e.,
9 Fasham et al., 1990). Whereas the complexity of marine biogeochemical mod-
10 els has increased in the last decade (reaching sometimes about eighty state
11 variables as in Follows et al., 2007), simple phytoplankton growth models are
12 still usually embedded within these ecosystem models, with strong simplifica-
13 tions on phytoplankton physiology, such as using constant C:N stoichiometry
14 or not accounting for photo-acclimation (using constant Chl:C ratio).

15 Phytoplankton growth formulations involving different complexities in
16 the representation of physiological processes (such as photosynthesis, nutri-
17 ent uptake, photo-acclimation, or energy storage) have been derived from
18 laboratory experiments (Zonneveld, 1998; Baklouti et al., 2006). However,
19 directly transposing the relationships derived from these laboratory exper-
20 iments, which generally involve a single phytoplankton species and explore
21 a limited set of forcing conditions (nutrient supply, temperature, light), to
22 global marine ecosystem models is not straightforward and is currently the
23 subject of some debates (Flynn, 2003a; Franks, 2009; Flynn, 2010; Anderson,
24 2010).

25 The simplest phytoplanktonic growth formulations use a classical Michaelis-
26 Menten representation of nutrient uptake (Monod, 1949, 1950) and assume
27 constant stoichiometry between carbon, nitrogen and phosphorus (Redfield
28 et al., 1963). In these models, phytoplankton are represented by a single state
29 variable, the phytoplankton biomass, expressed in nitrogen, phosphorus or
30 carbon currency. Because of their relative simplicity, these models are gen-
31 erally used for global scale studies (Aumont and Bopp, 2006; Follows et al.,

2007; Dutkiewicz et al., 2009). More sophisticated formulations, inspired from the original work of Droop (1968, 1983), explicitly account for the dynamics of internal quotas of phytoplanktonic cells (Flynn, 2008; Klausmeier et al., 2004; Bougaran et al., 2010; Mairet et al., 2011; Bernard, 2011). In these formulations, phytoplankton are represented by at least two variables, usually the phytoplankton biomass in both carbon and nitrogen currency. This allows to decouple the dynamics of nutrient uptake from carbon fixation, depending on the physiological state of phytoplankton. Various versions of such formulations have been successfully applied to 1D marine ecosystem models (Lancelot et al., 2000; Allen et al., 2002; Lefèvre et al., 2003; Mongin et al., 2003; Blackford et al., 2004; Salihoglu et al., 2008) and also attempted in 3D ecosystem models (Tagliabue and Arrigo, 2005; Vichi et al., 2007; Vichi and Masina, 2009; Vogt et al., 2010).

The dynamics of pigment contents, most frequently of chlorophyll *a* (Chl), can also be represented with different levels of complexity. The Chl:C ratios can either be constant (no photo-acclimation), diagnostic (from an empirical (Cloern et al., 1995; Bernard, 2011) or a mechanistic (Geider and Platt, 1986; Doney et al., 1996; Bissett et al., 1999) static relationship), or prognostic (i.e., with a dynamic evolution) (Flynn and Flynn, 1998; Geider et al., 1998; Baumert and Petzoldt, 2008; Ross and Geider, 2009). For instance, Geider et al. (1998) proposed a phytoplankton growth formulation calibrated for chemostat experiments, in which chlorophyll production is proportional to both nitrogen assimilation and carbon fixation.

The different behaviours associated to these different growth formulations have generally been examined in the context of laboratory experiments

(Vatcheva et al., 2006), i.e. for monospecific cultures under a limited set of idealized forcing. Significant variations from Redfield stoichiometry observed in experimental data of nutrient-limited phytoplankton cultures have highlighted the limits of the Redfield-Monod-type models and the need for non-Redfieldian formulations (quota formulations) (Sciandra, 1991; Dearman et al., 2003; Flynn, 2003a, 2010). Besides, formulations that assume constant Chl:C ratio fail to reproduce experimental data (Flynn et al., 2001) or *in situ* observations (Doney et al., 1996; Lévy et al., 1998; Spitz et al., 1998). However, it is not straightforward to find the right trade-off between a model which is too simple to reproduce the observed dynamics and a complex model with too many free parameters to tune against limited data (Flynn, 2003b). Based on comparisons with laboratory experiments, Flynn (2003a) suggested that quota-type models with empirical Chl:C relationship "should be adequate for most oceanographic modeling scenarios", although it must be kept in mind that even if a model using simplified assumptions may fit to observed data, it may not be acceptable (Mitra et al., 2007; Flynn, 2010).

A rigorous comparison of the qualitative and quantitative behaviours of Redfield, quota-type, and mechanistic models in more realistic oceanic conditions remains an open question. Based on model results at the Bermuda Atlantic Time-series Study (BATS) site, Schartau et al. (2001) suggested that an optimized model (i.e., after data assimilation procedure) with Redfield stoichiometry may not be able to correctly simulate primary production in oligotrophic subtropical regions, but, in an optimized marine ecosystem model of the Northwestern Mediterranean Sea, Faugeras et al. (2003) could not decipher significant differences between Redfield and quota growth for-

82 mulations.

83 In this context, the present work aims at comparing, in a rigorous frame-
 84 work, the qualitative and quantitative behaviours of different formulations of
 85 phytoplankton growth in an oceanographic context and to determine whether
 86 increasing complexity leads to significant improvement of the seasonal dy-
 87 namics of phytoplankton. This is examined with a 1D ecosystem model
 88 which simulates a seasonal cycle at BATS station. This site was chosen
 89 because strongly variable Chl:C and C:N ratios have been observed at this
 90 station over the year (for the phytoplankton and the particulate organic mat-
 91 ter, respectively; Sambrotto et al., 1993; Michaels and Knap, 1996; Steinberg
 92 et al., 2001). A coherent suite of consistent phytoplankton growth formula-
 93 tions is constructed by adding stepwise complexity. Constant, diagnostic,
 94 and prognostic Chl:C ratios are considered with Redfield stoichiometry or
 95 with variable C:N ratio. All formulations are then incorporated within the
 96 same ecosystem model applied in a 1D framework at BATS. Data assimila-
 97 tion through micro-genetic algorithm is used to calibrate the different models.
 98 This enables to compare the different formulations on the basis of their best
 99 performance relatively to standard observations.

100 After briefly presenting the study site, we describe the general structure of
 101 the marine ecosystem model and the different phytoplankton growth formu-
 102 lations. Then we present the micro-genetic algorithm used to tune the model
 103 parameters. In the Results section, the outputs of the different formulations
 104 are described and the skill of each formulation to reproduce observations
 105 is assessed. Finally, the choice of the phytoplankton growth formulation in
 106 marine biogeochemical models is discussed.

2. Models and methods

2.1. Study site

The Bermuda Atlantic Time-series Study (BATS) site is located in the Sargasso Sea, in the western North Atlantic subtropical gyre ($31^{\circ}40'$ N, $64^{\circ}10'$ W). This station has been monthly sampled since October 1988 as part of the US Joint Global Ocean Flux Study (JGOFS) program and the data are freely available at <http://bats.bios.edu/index.html>. The seasonal dynamics of nitrate, chlorophyll and primary production at BATS have been described by Steinberg et al. (2001). In winter, strong vertical mixing supplies nutrients to the surface layers, allowing a moderate bloom to occur between January and March. In summer, nutrient supply collapses because of thermal stratification and primary production is low, with a subsurface chlorophyll maximum (60-120 m). *In situ* measurements also indicate that the stoichiometric ratios of particulate C, N and P deviate from the traditional Redfield ratios, especially during the oligotrophic summer (Michaels and Knap, 1996; Cotner et al., 1997; Steinberg et al., 2001).

2.2. General model structure

The general structure of the model is a simple 'NPZD' type ecosystem, used in a 1D-framework which simulates the seasonal cycle of phytoplankton at BATS station. We used the LOBSTER marine ecosystem model, which has been previously used and calibrated for the North Atlantic (Lévy et al., 2005; Kremer et al., 2009; Lévy et al., 2012). Besides phytoplankton (P_N), the ecosystem model has five additional prognostic variables expressed in nitrogen units (mmolN.m^{-3}): Nitrate (NO_3), Ammonium (NH_4), Zooplankton

(Z_N), Detritus (D_N), and Dissolved Organic Matter (DOM) (Fig. 1). The photosynthetic available radiation (PAR) is derived from a two-wavelengths light absorption model, with absorption coefficients depending on the local phytoplankton concentrations. The detailed equations of the LOBSTER model are presented in Table 1. The definition of the parameters and their default values are presented in Table 2.

2.3. Model implementation

The ecosystem model is embedded in a simple 1D physical model, which accounts for the observed seasonal evolution of the mixed layer depth (MLD) and temperature at BATS in 1998. The 1D-model has 30 vertical layers, with a vertical discretization of 10 m from 0-100 m and then increasing with depth. Only vertical diffusion is taken into account. Monthly values of observed MLD, temperature and salinity at BATS in 1998 are used and linearly interpolated in time at each model time-step. The vertical eddy diffusivities K_z are diagnosed from the MLD: they are set to $1 \text{ m}^2.\text{s}^{-1}$ within the mixed layer and to $10^{-5} \text{ m}^2.\text{s}^{-1}$ below the mixed layer. A specific reaction term sms (source minus sink) is added to the diffusion equation. For each of the state variables i , the prognostic equation reads as follows:

$$\frac{\partial C_i}{\partial t} = \frac{\partial}{\partial z} \left(K_z \frac{\partial C_i}{\partial z} \right) + sms(C_i) \quad (1)$$

where C_i is the tracer concentration. The initial nitrate conditions are set to *in situ* observations at BATS in January 1998, whereas they are set to 0.1 mmolN.m^{-3} for the dissolved organic matter, to $0.03 \text{ mmolN.m}^{-3}$ for the ammonium (Lipschultz, 2001), and to extremely low values for the other

state variables (10^{-8} mmolN.m $^{-3}$). The biophysical model is spun up for one year and a time step of 1.2 hours is used.

2.4. Increasing the complexity in the representation of phytoplankton

The complexity of phytoplankton growth formulations is progressively increased. Four levels of complexity are compared: 1) a Redfield formulation with constant Chl:C ratio, 2) a Redfield formulation with a diagnostic Chl:C ratio, 3) a quota formulation with a diagnostic Chl:C ratio, and 4) a quota formulation with a prognostic Chl:C ratio. In these formulations, the phytoplankton compartment is thus represented by 1, 2 or 3 state variables. For convenience, these formulations have then been named P1.0, P1.5, P2.5, and P3.0 respectively, with the arbitrary convention that a prognostic state variable counts for one and a diagnostic variable (chlorophyll) counts for a half.

2.4.1. Redfield stoichiometry and constant Chl:C ratio (P1.0 formulation)

In the simplest formulation, phytoplankton are represented by a unique state variable (P1.0 formulation) (Fig. 2A, Tables 4 and 5). The phytoplankton carbon biomass P_C and nitrogen biomass P_N are related by a constant Redfield ratio $R_{C:N} = P_C/P_N = 6.56$ molC.molN $^{-1}$. The Chl:C ratio $R_{Chl:C}$ of the phytoplanktonic cells is also assumed to be constant and equal to $1/60$ gChl.gC $^{-1}$ (Fasham et al., 1990). Nitrogen uptake accounts for light and nutrient limitation. Light limitation L_I is defined according to Webb et al. (1974). Note that in order to keep the models as simple as possible, this expression is shared by the four phytoplankton growth formulations P1.0, P1.5, P2.5, and P3.0. Nutrient-limitation L_N is expressed as the sum

of nitrate and ammonium limitations following Wroblewski (1977) and as used in Fasham et al. (1990). Primary production (in carbon currency) is proportional to nutrient uptake (in nitrogen currency) by the factor $R_{C:N}$.

2.4.2. Redfield stoichiometry and diagnostic $Chl:C$ ratio (P1.5 formulation)

The structure of the P1.5 formulation is similar to that of P1.0, except that photo-acclimation is accounted for (Tables 4 and 5). In this model, the phytoplanktonic chlorophyll:carbon ratio $R_{Chl:C}$ is thus a diagnostic variable (Fig. 2B), calculated following Geider et al. (1996, 1998) as a function of light and nutrient limitation.

2.4.3. Cell quota and diagnostic $Chl:C$ ratio (P2.5 formulation)

In the P2.5 formulation, the phytoplanktonic nitrogen:carbon ratio $Q = P_N/P_C$ is variable (quota formulation) (Tables 4 and 5). The phytoplanktonic compartment is thus represented by two state variables: the phytoplanktonic nitrogen biomass P_N and the phytoplanktonic carbon biomass P_C (Fig. 2C). As in P1.5, the phytoplanktonic chlorophyll:carbon ratio $R_{Chl:C}$ is a diagnostic variable calculated following Geider et al. (1998). The formulations of nutrient uptake and primary production have also been chosen following Geider et al. (1996, 1998). Nutrient uptake (in nitrogen currency) is expressed as the product of quota and nutrient limitation terms. Primary production (in carbon currency) is expressed as the product of quota and light limitation terms.

2.4.4. Cell quota and prognostic chlorophyll (P3.0 formulation)

The P3.0 formulation corresponds to P2.5 with the addition of a fully prognostic equation for chlorophyll (Tables 4 and 5). Phytoplankton are thus

201 represented by three state variables: phytoplanktonic nitrogen biomass P_N ,
 202 phytoplanktonic carbon biomass P_C , and chlorophyll biomass P_{Chl} (Fig. 2D).
 203 The dynamical equation of the phytoplanktonic chlorophyll P_{Chl} is defined
 204 following Geider et al. (1998): the chlorophyll production is a function of ni-
 205 trogen uptake, carbon fixation (production) and light and it does not respond
 206 rapidly to environmental changes when using the original set of parameters.

207 2.4.5. Geider model (GP3.0 formulation)

208 All previous formulations share the same expression of light limitation,
 209 which is independent of nutrient limitation and internal C:N quota, an as-
 210 sumption that can be discussed (Flynn, 2003b, 2008). To check the conse-
 211 quences of this assumption, a fifth model is constructed from P3.0 by using
 212 the following light limitation term, which now depends on the internal C:N
 213 quota Q :

$$L_I(Q) = \left[1 - \exp \left(- \frac{\alpha \cdot R_{Chl:C} \cdot PAR}{\mu_m \cdot \frac{Q - Q_0}{Q_{max} - Q_0}} \right) \right] \quad (2)$$

214 This new formulation, named GP3.0, corresponds to the original phytoplank-
 215 ton growth formulation proposed by Geider et al. (1996, 1998), and which
 216 has been previously incorporated in various marine ecosystem models (e.g.,
 217 Moore et al., 2002; Lefèvre et al., 2003).

218 2.5. Parameter tuning using micro-genetic algorithm

219 Model parameters are tuned using a micro-genetic algorithm to best fit
 220 the observed seasonal cycle at BATS. Genetic algorithms are stochastic meth-
 221 ods in which a population of parameters evolves with mutation/selection pro-
 222 cesses (evolutionary tuning approach). In the particular case of micro-genetic
 223 algorithms, the size of the population is small and no mutation is considered

(Carroll, 1996). A micro-genetic algorithm with binary coding, elitism, tournament selection of the parents, and uniform cross-over was used (Carroll, 1996; Schartau and Oschlies, 2003). At the beginning, a set (or population) of parameter vectors (individuals) is randomly generated within a predefined range (Table 7). Each parameter vector is coded as a binary string (chromosome). Then, at each generation, the misfit of each parameter vector (fitness of each individual) is estimated as the misfit (cost function) between the data and the model outputs for this parameter vector. The parameter vector with the lowest misfit (best individual of its generation or 'elite') is conserved to the next generation. Then, four vectors are randomly chosen and associated in two pairs. The vectors with the lowest misfit (best fitness) within each pair are selected (parents), and a new parameter vector (child) is produced by randomly crossing each bit of the two selected vectors. This process (reproduction) is repeated until the replenishment of the population. New generations are produced (evolution), until the population of parameter vectors has converged (all the vectors are identical to the elite). Then, a new generation is randomly generated, with the elite conserved. This process was repeated 500 times for a population whose size was chosen equal to the number of parameters to identify (Schartau and Oschlies, 2003). For each model, the parameter space was reduced to the parameters for which the cost function was the most sensible, as learnt from preliminary sensibility analyses (four to six parameters depending on the model, see Table 7).

2.6. Cost function and model comparison

In situ data measured at BATS in 1998, including monthly records of nitrate concentration, total particulate organic nitrogen concentration, chloro-

249 phyll concentration, and primary production, are used for optimization. In
 250 the model, total particulate organic nitrogen (PON) is taken as the sum
 251 of phytoplanktonic nitrogen, zooplanktonic nitrogen and detritus: $PON =$
 252 $P_N + Z_N + D_N$. These monthly profiles are re-gridded along the 1D ver-
 253 tical grid of the model. The cost function F is taken as the weighted
 254 sum of squared differences between monthly vertical profiles of observations
 255 $obs_n(k, l)$ and model outputs $mod_n(k, l)$ (Evans, 2003; Stow et al., 2009):

$$F = \frac{1}{KL} \sum_{n=1}^N \sum_{k=1}^K \sum_{l=1}^L W_n [obs_n(k, l) - mod_n(k, l)]^2 \quad (3)$$

256 Four data types are used ($N = 4$): nitrate concentration, chlorophyll concen-
 257 tration, total particulate organic nitrogen and primary production. The cost
 258 function is calculated from monthly data ($L=12$) and only the first vertical
 259 layers from 0 to 168 m are used ($K=15$). The weights W_n are chosen equal
 260 to the inverse of the standard deviation of the monthly observations ($1/\sigma_n$),
 261 with $\sigma_{NO_3} = 0.541 \text{ mmolN.m}^{-3}$, $\sigma_{Chl} = 0.080 \text{ mgChl.m}^{-3}$, $\sigma_{PON} = 0.106$
 262 mmolN.m^{-3} , $\sigma_{PP} = 0.177 \text{ mmolC.m}^{-3}.\text{d}^{-1}$.

263 Model outputs are also compared with *in situ* data and with each other
 264 using skill assessment technics, such as Taylor diagrams and target diagrams
 265 (Taylor, 2001; Stow et al., 2009; Jolliff et al., 2009). These diagrams can be
 266 seen as complementary indicators of the misfit between data and model out-
 267 puts, including correlation, root mean squared differences, relative standard
 268 deviations, and bias.

3. Results

3.1. Parameter tuning using micro-genetic algorithm

For each phytoplankton growth formulation, four to six parameters are identified through an optimization algorithm, with the number of optimized parameters increasing with the formulation complexity. The parameter values obtained after optimization are in the same range of magnitude among the different models (Table 8). We can note that, after optimization and compared to their initial default values, grazing parameters (K_g, g) and maximal Chl:N ratio ($R_{Chl:N}^{Max}$) are increased, whereas the other parameters remain close to their default values. For each model, the best constrained parameter is the initial PI slope α (as indicated by the evolution of the minimum misfit obtained for each of the 64 possible values of this parameter during the optimization procedure, not shown).

After optimization, cost functions are reduced for all models, by 23% for P1.0 to 38% for P2.5 (Table 8). Model performances to reproduce all data types are improved (Fig. 3). The optimizations increase the correlation between the model outputs and the observations (angular coordinates on the Taylor diagram) and decrease the ratio of the standard deviations of model outputs and observations (radial coordinates on the Taylor diagram). Optimizations also decrease the bias and the normalized unbiased root mean squared differences between model outputs and observations (abscissae and ordinates on the Target diagram). Nitrate is the observation which is globally best reproduced by all models, contrary to particulate organic nitrogen (PON).

293 *3.2. Seasonal dynamics*

294 The temporal evolution of the vertical profiles of nitrate, PON, chloro-
 295 phyll and primary production confirms that all the models, after the param-
 296 eter identification procedure, behave similarly. This may suggest a strong
 297 impact of the initial conditions and physical forcing (Fig. 4). The evolutions
 298 of nitrate and PON distributions are not significantly different between the
 299 phytoplankton growth formulations. In response to the deepening of the
 300 mixed layer in March, nitrate is entrained to the surface. It is then quickly
 301 consumed in the euphotic layer during winter and spring, leaving very low ni-
 302 trate concentrations in summer. Accordingly, PON and chlorophyll exhibit a
 303 strong seasonal variability with a strong contrast between winter/spring and
 304 summer. A strong phytoplankton bloom occurs between March and April,
 305 characterized by high PON and chlorophyll concentrations in the surface
 306 mixed layer, followed by a subsurface maxima in chlorophyll in summer.

307 Larger differences between phytoplankton growth formulations can be
 308 seen in chlorophyll and production, with larger discrepancies between simu-
 309 lations and observations than among simulations (Fig. 4). None of the model
 310 correctly reproduces the exact dynamics of the observations. All models
 311 are able to reproduce the subsurface chlorophyll maximum in summer, but
 312 simulated chlorophyll concentrations are lower than observed whatever the
 313 model, except during the bloom. None of the models is able to reproduce
 314 the observed temporal evolution of production, which is characterized by a
 315 maximum value in February and high values during the oligotrophic sea-
 316 son. However, the high production period is longer for quota formulations.
 317 As expected from previous studies (Doney et al., 1996; Spitz et al., 1998),

the Redfield formulation with constant Chl:C ratio (P1.0) is unable to simultaneously reproduce the deep chlorophyll maximum and the subsurface production maximum during the oligotrophic season, because of its constant Chl:C ratio (Fig. 5). Conversely, models with photo-acclimation (i.e., variable Chl:C ratio) are all able to simulate the deep chlorophyll maximum and the subsurface production maximum during the oligotrophic season. Taking into account photo-acclimation allows to increase the C:Chl ratio in surface, especially during oligotrophic conditions (Fig. 5).

The cell quota formulations with photo-acclimation (P2.5, P3.0 and GP3.0) exhibit significant differences from the Redfield formulations in terms of C:Chl ratio, phytoplankton biomass in carbon, and C:N ratio, particularly during oligotrophic conditions (Fig. 5). During the bloom, lower C:Chl and C:N ratios are simulated by the models that allow these ratios to vary. During the oligotrophic period, higher C:Chl and C:N ratios are simulated at the surface by these models, with very close values for the three formulations. The Redfield formulation with photo-acclimation (P1.5) simulates the lowest variations of the C:Chl ratio, suggesting that this model could be less efficient than the quota formulations to simulate photo-acclimation, likely because it is less flexible.

3.3. Annual and seasonal production in carbon and nitrogen

In general, similar total and new productions in nitrogen are simulated by the different models (relative differences about 5 %), except for the new production between P1.0 and P2.5 (about 30 % higher for P2.5) (Table 9). F-ratios vary from 0.43 to 0.49 during the bloom and from 0.20 to 0.27 during the oligotrophic period. Total productions in carbon are much larger

for the formulations with a variable C:N quota than for the Redfield formulations (about 50 % larger). This increase in carbon production is simulated both during the bloom and during oligotrophic conditions, suggesting a more efficient photosynthesis per chlorophyll content. Temporal evolution of vertically-integrated daily production in nitrogen are close between models, whereas strong differences are observed in vertically-integrated daily production in carbon between Redfield and quota formulations, both during the bloom and in summer (Fig. 6).

With cell quota formulations (P2.5, P3.0 and GP3.0), the C:N ratio of total production is higher than the Redfield ratio and it increases at the surface in summer, i.e. during oligotrophic conditions, with the highest C:N values simulated by GP3.0 (about 15 at the surface at the end of the year) (Fig. 7). Note that this feature is an emergent property of these cell quota formulations, since the value of the C:N ratio was not constrained during the optimization procedure. Besides, with the cell quota formulations the C:N ratio of total production is always higher than the C:N ratio of phytoplankton, because of the cost of the nitrogen uptake (ζ parameter). With the P2.5 formulation, for instance, the C:N ratios of total production and of phytoplankton vary between 9 and 14 $molC.molN^{-1}$ and between 5 and 10 $molC.molN^{-1}$, respectively.

4. Discussion

The aim of the present work was to assess the consequences of taking into account photo-acclimation and variable stoichiometry of the phytoplankton growth in marine ecosystem models, by comparing the qualitative and quan-

titative behaviours of several growth formulations within a rigorous frame-
work. A parameter tuning based on optimization procedure was performed
before the comparison, using observed data of nitrate, particulate organic
nitrogen (PON), chlorophyll, and primary production at BATS. The opti-
mization increases the ability of all models to reproduce the observed data.
Globally, all models behave similarly after optimization and no difference
in the ability to reproduce nitrate or PON data is observed. However, as
expected from previous studies at BATS (Doney et al., 1996; Spitz et al.,
1998), photo-acclimation (i.e., a variable Chl:C ratio) is needed to simul-
taneously reproduce subsurface production and deep chlorophyll maximum
during oligotrophic conditions in summer. Moreover, Redfield formulations
underestimated production compared to quota formulations, which suggests
that the latter should be preferred. No clear difference is detected between
quota formulations with diagnostic or prognostic chlorophyll. Our main con-
clusion is that quota formulations with diagnostic or prognostic chlorophyll
enable to simulate more realistic values of chlorophyll and phytoplankton
production during oligotrophic conditions, compared with formulations with
constant Chl:C and C:N ratios. Indeed, these formulations are able to simu-
late a more 'flexible' phytoplankton physiology. They are then able to better
reproduce the phytoplankton dynamics under a wider range of environmental
conditions.

4.1. Parameter tuning

In order to compare the different phytoplankton growth formulations, we
have followed the methodology which consists in calibrating parameters prior
to comparison using advanced parameter estimation approaches (Faugeras

et al., 2004; Friedrichs et al., 2006; Smith and Yamanaka, 2007; Ward et al., 2010; Bagniewski et al., 2011). This ensures that all models performed the best they could. Sensitivity analyses have been needed to properly choose the cost function and the parameters to calibrate with the optimization procedure: the sensitivity of several cost functions have been tested *a priori* and only the most constrained parameters have been selected as candidates for the minimization algorithm. Optimization procedure also provides *a posteriori* estimates of the parameter uncertainty (Matear, 1995; Fennel et al., 2001; Faugeras et al., 2003; Schartau and Oschlies, 2003). For instance, using dissolved inorganic nitrogen, PON, chlorophyll, silicate, and oxygen data to optimize the parameters of a simple marine ecosystem model through variational optimization, Bagniewski et al. (2011) concluded that phytoplankton parameters (such as μ , α , and m_P) were better constrained than zooplankton parameters (such as g). In the present study, the strength of the minimization algorithm has been qualitatively estimated from the shape of the misfit function for each of the selected parameters. The best constrained parameter is the initial PI slope α , which is not surprising since this parameter appears in the equations of nitrate, PON, chlorophyll, and primary production, i.e., the data used during the optimization procedure.

4.2. Model framework

For the purpose of our study, we used a relatively simple biogeochemical model and the annual primary production in carbon was underestimated with all the phytoplankton growth formulations (assuming the production data are correct). This shortcoming is a problem faced by most biogeochemical models in the North Atlantic subtropical gyre (see for instance Oschlies,

2002). Several reasons can be advanced to explain it. One reason is the use of a simple 1D physical framework, since lateral transport, which could provide an additional source of DOM that would then be remineralized *in situ* (Williams and Follows, 1998), and nutrient supply by mesoscale and sub-mesoscale processes (Oschlies, 2002; McGillicuddy et al., 2003; Lévy et al., 2012) may significantly increase the production in the North Atlantic. A second hypothesis is the lack of nitrogen-fixers in our model. Finally, a third hypothesis would be that the structure of the model is not complex enough, in particular because of the lack of explicit bacteria. Indeed, this compartment may play an important role during summer, especially for regenerated production (Steinberg et al., 2001). However, the presence of a DOM pool in our model implicitly assumes remineralization through bacterial activity and allows local remineralization of the organic matter being produced. Besides, the LOBSTER model have been complexified with an explicit representation of bacteria and the versions of LOBSTER with and without bacteria have been compared in the Mediterranean sea and showed little differences in terms of primary production, even during the summer oligotrophic period (Lévy et al., 1998). Moreover, sensitivities to the DOM remineralization rate, which mimics the action of bacteria, did not enable to significantly change the simulated primary production, further highlighting that the reason for this might more probably be the lack of nitrogen sources in the model rather than to the simplified microbial network. This model could also have been improved by the representation of additional phytoplankton types, since the composition of the phytoplankton community changes along the year, or by the use of additional nutrients such as phosphate (Cotner et al., 1997;

442 Steinberg et al., 2001), but then it would have required to take into account
 443 multi-nutrient growth limitation of phytoplankton. Although a better agree-
 444 ment between model and observation might then be obtained using a more
 445 complex biogeochemical model and/or a more realistic physical forcing, the
 446 model framework can be used to compare the different phytoplankton growth
 447 formulations in a robust manner.

448 4.3. *Photo-acclimation in marine biogeochemical models*

449 Our comparative modelling study at BATS suggests that taking into ac-
 450 count photo-acclimation (i.e., a variable Chl:C ratio) is mandatory to si-
 451 multaneously reproduce deep chlorophyll maximum and subsurface primary
 452 production during oligotrophic conditions. Indeed, a model without photo-
 453 acclimation (P1.0) is able to predict the spring bloom and the depth of the
 454 chlorophyll maximum, but has difficulties to reproduce the high production
 455 observed in summer in subsurface, compared to the formulations with photo-
 456 acclimation that are more flexible. Since in the latter formulations the Chl:C
 457 ratio can vary depending on environmental conditions (namely light and nu-
 458 trient availability), they can better perform along a wider range of conditions
 459 (surface and subsurface, spring and summer).

460 These results are in agreement with previous modelling studies at BATS
 461 indicating that the phytoplankton dynamic could not be reproduced when
 462 using a constant Chl:C ratio (Doney et al., 1996; Hurtt and Armstrong, 1996,
 463 1999; Spitz et al., 1998, 2001; Fennel et al., 2001). Doney et al. (1996) hy-
 464 pothesized that this may be "because not enough nutrient were available
 465 to sustain [the production in summer]". Our comparative study highlights
 466 that difficulties to simulate the high production in summer may partly be

467 due to the fixed Chl:C ratio, since models with variable Chl:C were able to
 468 reproduce the observations better. Similarly, Fennel et al. (2001) and Spitz
 469 et al. (1998) could not correctly reproduce observation data at BATS with
 470 simple NPZD models with constant Redfield and Chl:N ratios, even after
 471 parameter optimization. Fennel et al. (2001) suggested that this was due
 472 to the physical forcing and/or to the too simple hypotheses of the ecosys-
 473 tem model, whereas Spitz et al. (1998) proposed three possible explanations
 474 for this failure: the use of a Redfield stoichiometry, the absence of photo-
 475 acclimation, and approximations about vertical processes. In the present
 476 study, the same physical forcing is used for all models and our results indi-
 477 cate that the failure to reproduce the nitrate and chlorophyll data may be
 478 due to the absence of photo-acclimation (constant Chl:N ratio). Our results
 479 are in agreement with the improvements of the Fasham model proposed by
 480 Hurtt and Armstrong (1996, 1999) using a variable Chl:N ratio as a function
 481 of the irradiance, or by Spitz et al. (2001) using a prognostic Chl:N ratio:
 482 photo-acclimation of phytoplankton should be taken into account to simulate
 483 the subsurface chlorophyll maximum under summer oligotrophic conditions.
 484 In summer this chlorophyll maximum is observed in subsurface, with max-
 485 imum production rates at the surface. This means that the phytoplankton
 486 decrease its pigment content at the surface and increase it to collect more
 487 light in subsurface. Our results suggest that such flexibility in phytoplankton
 488 physiology can only be simulated in marine ecosystem models if the ratio of
 489 pigment content over biomass can vary depending on environmental condi-
 490 tions (photo-acclimation).

491 Our suite of numerical experiments also allows to compare several formu-

lations of photo-acclimation. The P2.5 formulation, with diagnostic chlorophyll, and the P3.0 formulation, with fully dynamical chlorophyll, produced relatively similar results. Slight differences were observed between the P3.0 and the GP3.0 formulations, both with dynamical chlorophyll but with different light limitation formulations. In the latter, light limitation is a function of the cell quota, as recommended by Flynn (2003b) to assure that, at steady state, the growth-irradiance curve has the correct initial slope. However, phytoplankton growth in the ocean is often not at steady state. Additional data on phytoplanktonic carbon concentration and C:N ratio would be needed to constrain these cell quota formulations with photo-acclimation and compare their ability to reproduce phytoplanktonic dynamics. In the meantime, and as suggested by Flynn (2003a) from growth formulation comparison for laboratory experiments, phytoplankton models with diagnostic chlorophyll should be preferred when coupled with marine ecosystem models.

4.4. *Stoichiometry of phytoplanktonic production*

Our results indicate that compared to Redfield growth formulations, quota growth formulations better reproduce the primary production during oligotrophic conditions. Several problems arose from previous modelling studies at BATS using constant C:N ratios with photo-acclimation because of the assumed Redfield stoichiometry. Schartau et al. (2001) concluded that production data could not be reproduced after optimization when a constant C:N ratio was assumed. Schartau and Oschlies (2003) also indicated that the parameter optimization of a Redfield NPZD model with photo-acclimation leads to high value of the parameter α (initial PI slope) "likely [to] compensate for a deficiency in the parameterization of light-limited growth."

517 Finally, Oschlies and Schartau (2005) concluded that their model was unable
 518 to reproduce the observed data after optimization due "both to errors in
 519 the physical model component and to errors in the structure of the ecosys-
 520 tem model, which an objective estimation of ecosystem model parameters by
 521 data assimilation alone cannot resolve." Besides, the stoichiometry of total
 522 particulate organic matter is known to be non-Redfield at BATS (Michaels
 523 and Knap, 1996; Cotner et al., 1997), as already reported in other parts of
 524 the North Atlantic (Sambrotto et al., 1993; Kortzinger et al., 2001). Sur-
 525 face and mixed layer values of the C:N ratio of particulate organic matter
 526 recorded at BATS in 1998 vary from 6.19 to 10.26 $molC.molN^{-1}$, with val-
 527 ues larger than 8 $molC.molN^{-1}$ from June to August (Fig. 7). However,
 528 the comparison of these observed values with simulated C:N ratios of pro-
 529 duction and phytoplankton are not straightforward, since the proportions
 530 of phytoplanktonic nitrogen and carbon relative to total particulate organic
 531 nitrogen and carbon are unknown. Nevertheless, the increase of C:N ratios
 532 during oligotrophic conditions is well reproduced by the cell quota formula-
 533 tions, because of low nutrient availability during the summer. For cell-quota
 534 formulations, it is then the ability of the C:N ratio to vary under changing
 535 environmental conditions (flexibility) that is responsible to a more realistic
 536 simulated production.

537 Similarly, an *in situ* study of the evolution of the C:N ratios of particu-
 538 late organic matter and production in the mixed layer in the North-East At-
 539 lantic indicated that these C:N ratios were higher during summer than during
 540 spring, with values of C:N ratio of production of 10-16 and 5-6 $molC.molN^{-1}$,
 541 respectively (Kortzinger et al., 2001). These results suggest that our conclu-

sions at BATS may extend to other areas in the ocean. Besides, it would be interesting to adapt this study to a station where data of phytoplanktonic nitrogen and carbon would be available in order to discriminate between the different quota formulations (P2.5, P3.0, and GP3.0).

4.5. *Implications for marine ecosystem modelling*

Several recent studies, that have compared different biogeochemical models, have focused on the structure of the model rather than on the formulation of phytoplankton growth (Friedrichs et al., 2006, 2007; Ward et al., 2010; Kriest et al., 2010; Bagniewski et al., 2011). Friedrichs et al. (2006) found that a change in the physical model had a more important impact than a change in the ecosystem model complexity. Similarly, Kriest et al. (2010) demonstrated that increasing complexity of a simple biogeochemical model at global scale did not necessarily improve the model's performance. Nevertheless, the choice of model complexity (food web structure, description of key physiological processes, parameter estimations, plankton functional types) is one of the challenges of future marine ecosystem modelling (Flynn, 2003a; Le Quéré et al., 2005; Flynn, 2010; Anderson, 2010; Allen and Fulton, 2010; Allen and Polimene, 2011). Besides, the use of complex models is still under debate because of our lack of specific knowledge in parameterizing plankton physiology and its variability (Anderson, 2005; Allen et al., 2010; Allen and Polimene, 2011).

Our study allows to quantify the error made when a constant Redfield stoichiometry is considered (instead of a variable C:N ratio) in phytoplankton growth formulation, as it is still the case in most biogeochemical models, especially when they are used at global scale. Indeed, only a few global

ecosystem models decouple nitrogen and carbon dynamics (Vichi et al., 2007; Vichi and Masina, 2009). A recent study using a marine ecosystem model at global scale decoupled nitrogen and phosphorus dynamics relative to carbon, but still used a Monod-type version of nutrient limitation (Tagliabue et al., 2011). This model was thus "in between" Monod-Redfield and cell quota formulations. Global scale models that decouple carbon and nitrogen uptakes are particularly needed to study the impact of increased CO₂ in the ocean. Indeed, carbon dioxide enhances carbon fixation but not dissolved inorganic nitrogen uptake, thus potentially increasing C:N ratios. Such processes have already been observed in mesocosm experiments (Riebesell et al., 2007), and should now be incorporated in global marine ecosystem models. Besides, climate change will likely modify to some degree the stoichiometry of inorganic and organic C:N:P in the oceans (Hutchins et al., 2009). For these reasons, models without enough 'flexibility' in their formulation will not be able to represent the non-linearities between carbon and nitrogen assimilation. In parallel with model improvements, field and *in situ* experiments should continue in collaboration with modelers to increase our knowledge in plankton physiology and dynamics under varying environment and provide data to calibrate and validate models.

5. Conclusion

The aim of the present work was to assess the advantages of taking into account photo-acclimation and variable stoichiometry of the phytoplankton growth in marine ecosystem models. After parameter calibration through an optimization procedure, lower misfits with observed data at BATS were

591 simulated when photo-acclimation and non-Redfield stoichiometry were con-
 592 sidered (i.e., variable Chl:C and C:N ratios). The main differences in qual-
 593 itative and quantitative behaviours of phytoplankton growth models were
 594 observed under oligotrophic conditions, because of the lack of model flexibil-
 595 ity. In agreement with previous studies, photo-acclimation was mandatory
 596 to simultaneously reproduce the observed deep chlorophyll maximum and
 597 subsurface production during oligotrophic conditions. Moreover, quota for-
 598 mulations enabled a better agreement with production data in subsurface and
 599 during oligotrophic conditions than Redfield formulations. No clear differ-
 600 ence was detected between quota formulations with diagnostic or prognostic
 601 chlorophyll, and more data would be needed to discriminate between these
 602 quota formulations with photo-acclimation. Future work would embed these
 603 different phytoplankton growth formulations within a 3D physical model to
 604 test whether our results can be generalized under contrasted oceanic regime
 605 and at basin scale (Ayata et al., *in prep.*).

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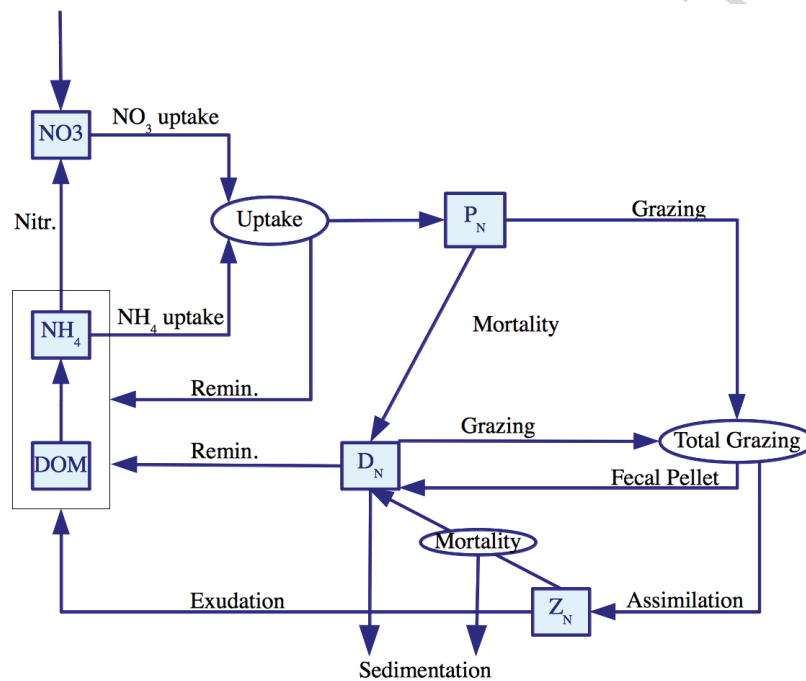
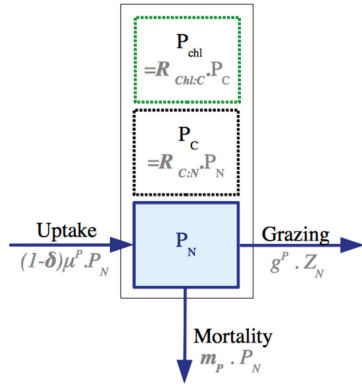
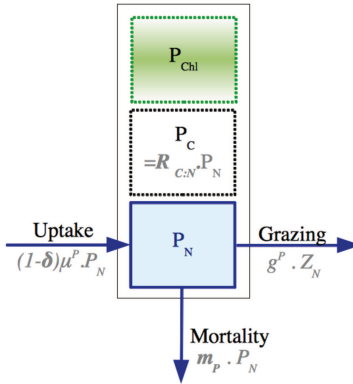


Figure 1: Structure of the LOBSTER marine ecosystem model. The six state variables are in nitrogen currency (blue color). The detailed equations of the model are given in Table 1. Nitr.: Nitrification; Remin.: Remineralization.

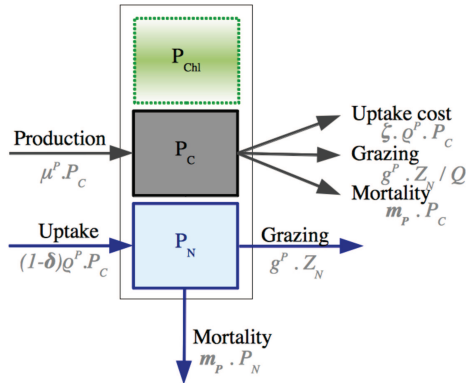
A) P1.0



B) P1.5



C) P2.5



D) P3.0

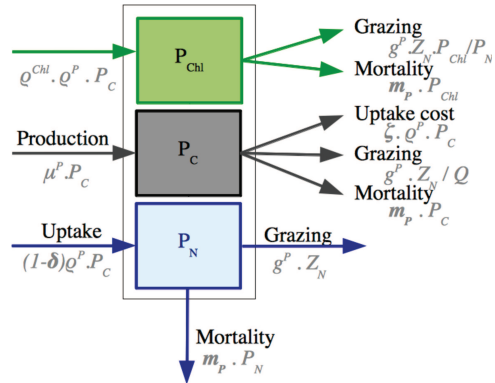


Figure 2: Structure of the phytoplankton growth formulations: A) Redfield formulation with constant chlorophyll:carbon ratio (P1.0), B) Redfield formulation with diagnostic chlorophyll (P1.5), C) quota formulation with diagnostic chlorophyll (P2.5), and D) quota formulation with prognostic chlorophyll (P3.0). Note that the Geider formulation (GP3.0) shares the same structure as P3.0. State variables are in plain color and diagnostic variables in shaded color. The colors of the variables indicate their currency: blue for nitrogen, grey for carbon, and green for chlorophyll.

Figure 3: Taylor and target diagrams of the monthly vertical profiles of nitrate concentration (diamonds), PON concentration (triangles), chlorophyll concentration (circle) and primary production (square) calculated for each formulation with default parameters (empty symbol) and after optimization (full symbol). The Taylor diagram represents in polar coordinates the normalized standard deviation and the correlation between observation and model output. On this diagram, the distance with the point of coordinates (1,0) measures the normalized root mean squared differences between observation and model output.

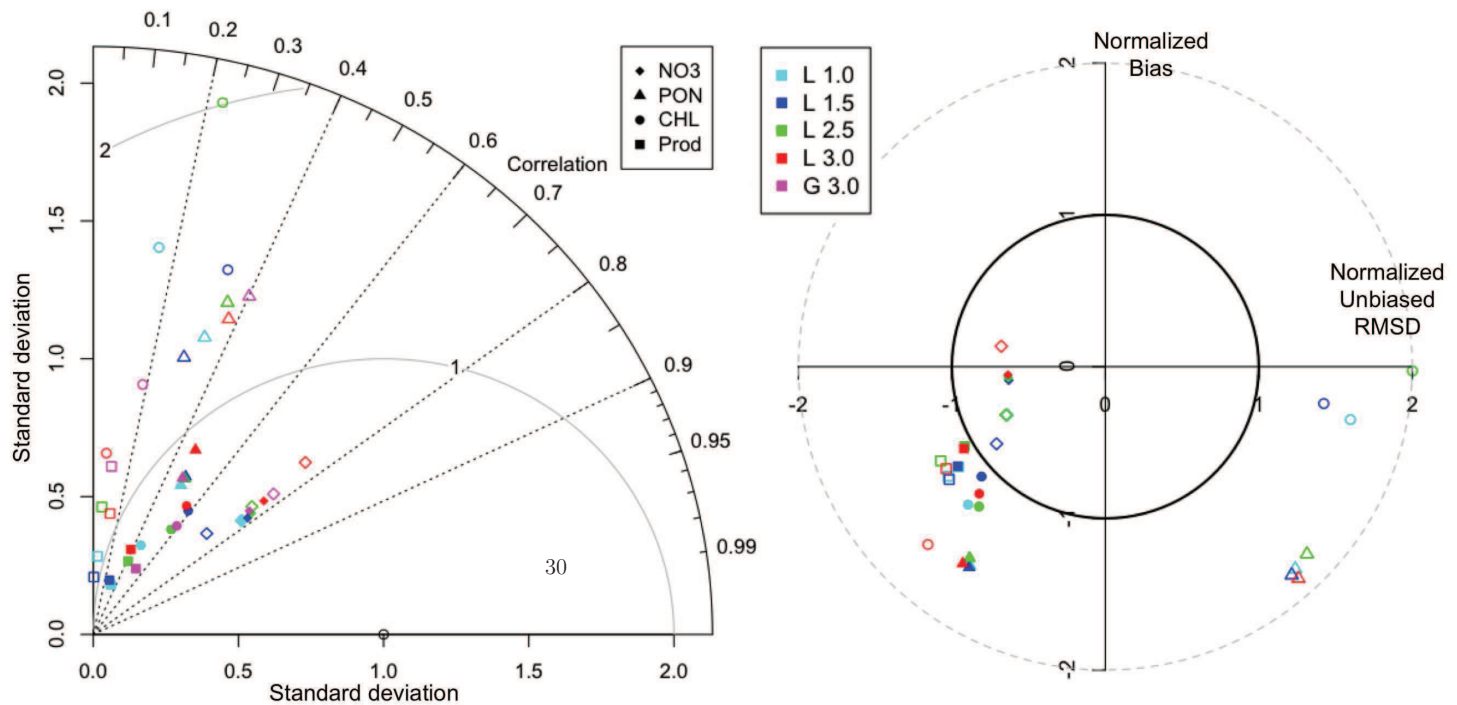


Figure 4: Seasonal cycles of nitrate, particulate organic nitrogen (PON), chlorophyll, and primary production at BATS in 1998, simulated with the different models after optimization and observed at BATS in 1998. The observed mixed layer depth is superimposed in white over the observed nitrate profiles.

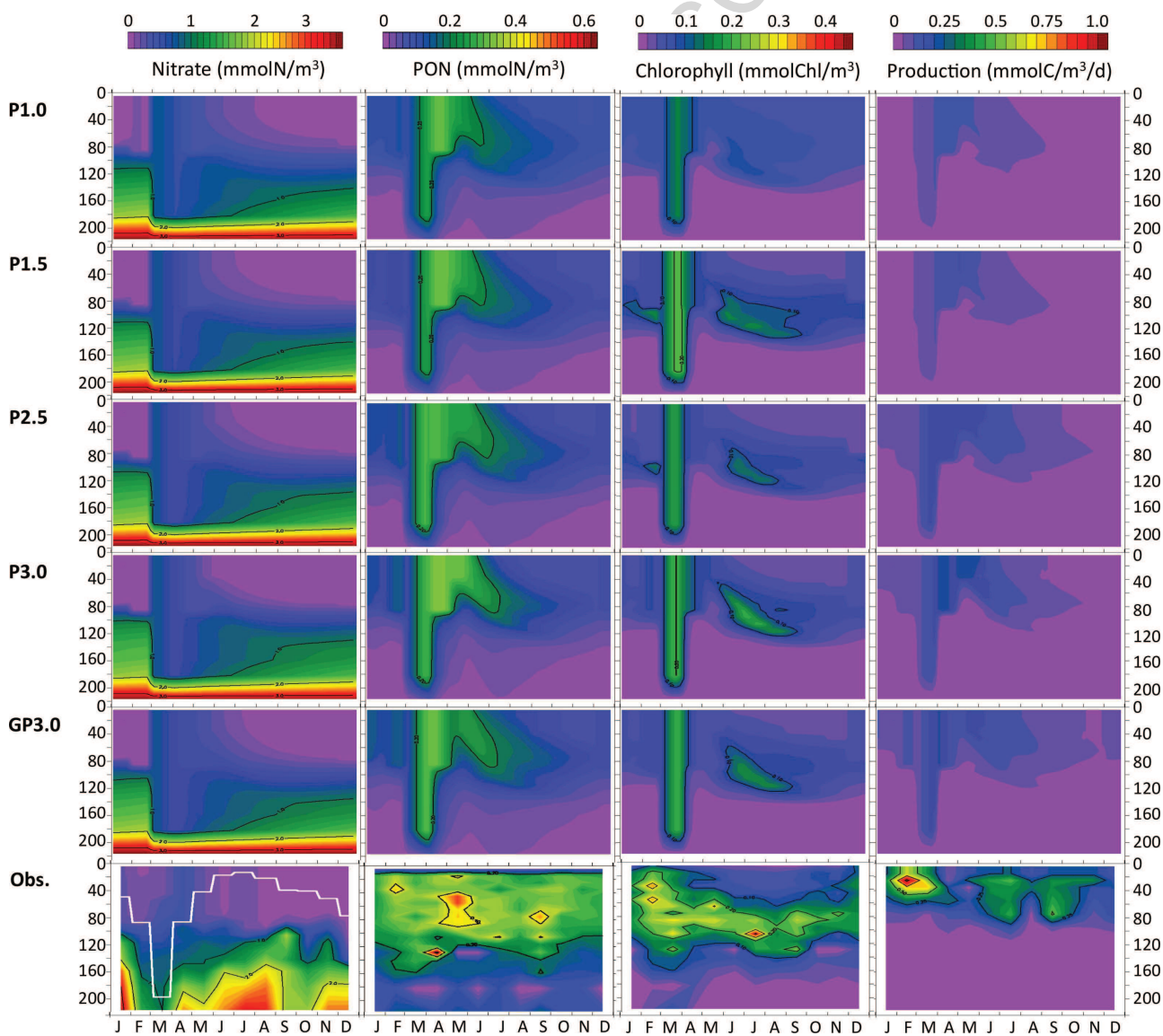


Figure 5: Average vertical profiles during boom (Mar-Apr) and during oligotrophic conditions (Jul-Aug) of the concentrations of phytoplanktonic nitrogen, phytoplanktonic carbon, C/ N ratio and C:Chl ratio, simulated with P1.0 (dark blue), P1.5 (light blue), P2.5 (green), P3.0 (red), and GP3.0 (magenta) after optimization.

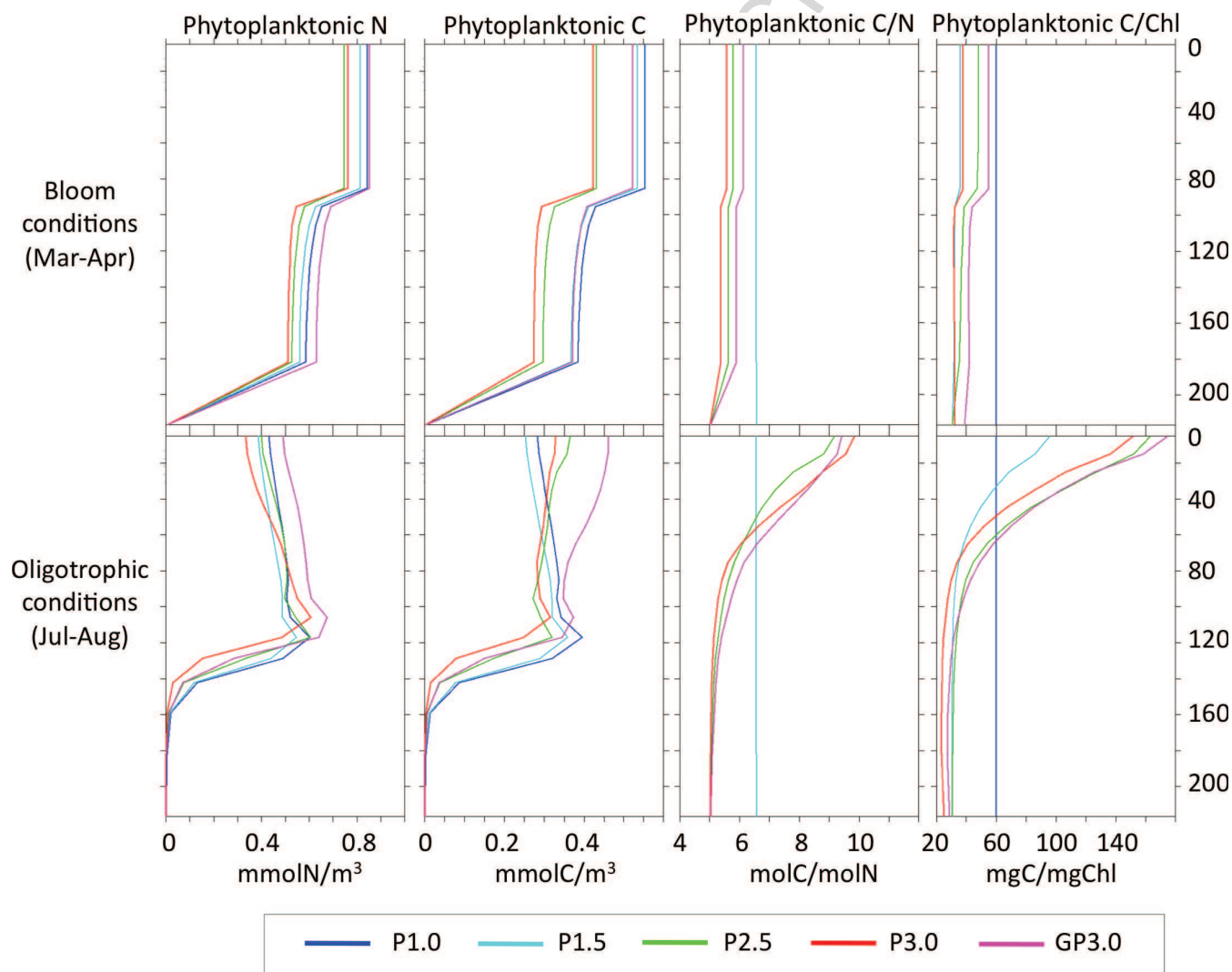


Figure 6: Temporal evolution of integrated daily production in carbon and in nitrogen from 0 to 234 m, simulated by the Redfield formulations P1.0 (blue) and P1.5 (light blue), and by the quota formulations P2.5 (green), P3.0 (red), and GP3.0 (magenta) after optimization. The observed values of the integrated daily production in carbon at BATS are indicated (black crosses).

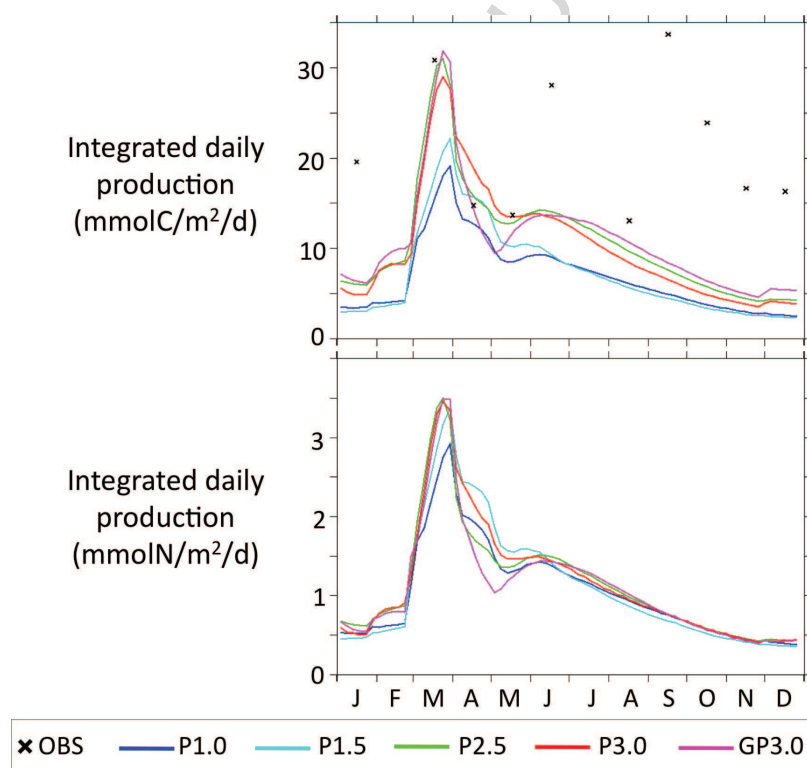


Figure 7: Temporal evolution of the C:N ratio of the production and of the phytoplankton at 0-10 m, 40-50 m and 90-100 m after optimization, simulated by the Redfield formulations P1.0 and P1.5 (light blue), and by the quota formulations P2.5 (green), P3.0 (red), and GP3.0 (magenta). The C:N ratio of the production is calculated as the ratio between the total production in carbon and the total production in nitrogen. The observed surface values of the C:N ratio of the total particulate organic matter measured at BATS in 1998 are superimposed on the simulated C:N ratio of the phytoplankton (black crosses).

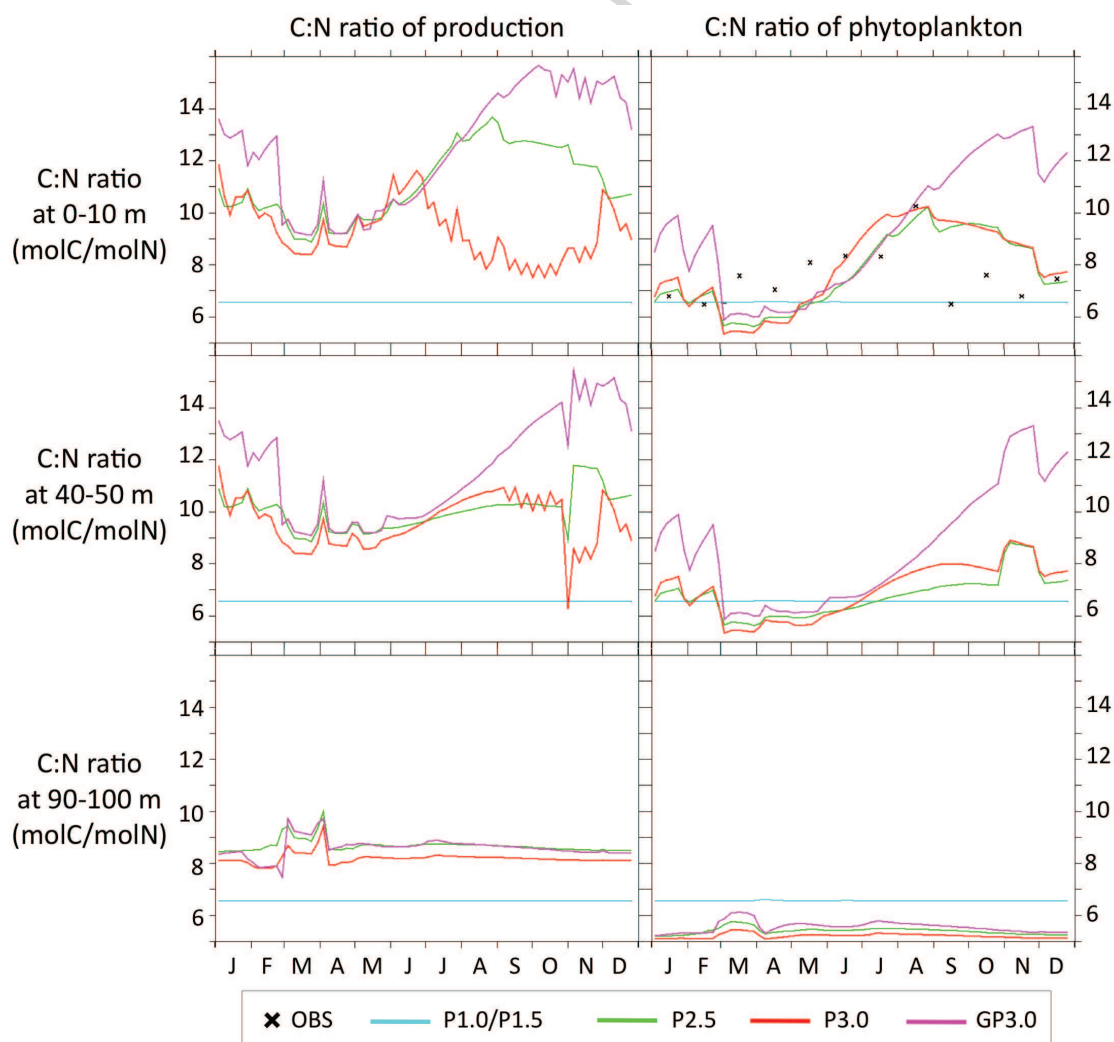


Table 1: Equations of the LOBSTER marine ecosystem model. The source minus sink (*sms*) terms of the equations are given for each of the six state variables of the model (in nitrogen currency): nitrate (NO_3), ammonium (NH_4), phytoplankton (P_N), zooplankton (Z_N), detritus (D_N), and dissolved organic matter (DOM). The phytoplankton growth formulation is a Redfield formulation with constant Chl:C ratio (P1.0 formulation). The definition of the parameters and their default values are presented in Table 2.

Definition	Equation
Nitrate source minus sink	$sms(\text{NO}_3) = \lambda_{\text{NH}_4} \cdot \text{NH}_4 - \frac{L_{\text{NO}_3}}{L_N} \cdot uptake$
Ammonium source minus sink	$sms(\text{NH}_4) = -\lambda_{\text{NH}_4} \cdot \text{NH}_4 - \frac{L_{\text{NH}_4}}{L_N} \cdot uptake + \lambda_{\text{DOM}} \cdot \text{DOM} + f_n \cdot (\delta \cdot uptake + \lambda_Z \cdot Z_N + \lambda_D \cdot D_N)$
Phytoplankton source minus sink	$sms(P_N) = (1 - \delta) \cdot uptake - G_P - m_P \cdot P_N$
Zooplankton source minus sink	$sms(Z_N) = a_Z \cdot (G_P + G_D) - m_Z \cdot Z_N^2 - \lambda_Z \cdot Z_N$
Detritus source minus sink	$sms(D_N) = m_P \cdot P_N + f_Z \cdot m_Z \cdot Z_N^2 + (1 - a_Z) \cdot (G_P + G_D) - G_D - \lambda_D \cdot D_N - w_D$
DOM source minus sink	$sms(\text{DOM}) = (1 - f_n) \cdot (\delta \cdot uptake + \lambda_Z \cdot Z_N + \lambda_D \cdot D_N) - \lambda_{\text{DOM}} \cdot \text{DOM}$
Nitrogen uptake	$uptake = \mu_m \cdot L_N \cdot L_I \cdot P_N$
Light limitation	$L_I = \left[1 - e^{\left(-\frac{\alpha \cdot R_{\text{ChlC}} \cdot P_{AR}}{\mu_m} \right)} \right]$
Nutrient limitation	$L_N = L_{\text{NO}_3} + L_{\text{NH}_4}$
Nitrate limitation	$L_{\text{NO}_3} = \frac{\text{NO}_3}{K_{\text{NO}_3} + \text{NO}_3} \cdot e^{-\psi \cdot \text{NH}_4}$
Ammonium limitation	$L_{\text{NH}_4} = \frac{\text{NH}_4}{K_{\text{NH}_4} + \text{NH}_4}$
Grazing on phytoplankton	$G_P = g \cdot \frac{p \cdot P_N}{K_g + p \cdot P_N + (1-p) \cdot D_N} \cdot Z_N$
Grazing on detritus	$G_D = g \cdot \frac{(1-p) \cdot D_N}{K_g + p \cdot P_N + (1-p) \cdot D_N} \cdot Z_N$
Grazing preference for phytoplankton	$p = \frac{\bar{p} \cdot P_N}{\bar{p} \cdot P_N + (1-\bar{p}) \cdot D_N}$

Table 2: Parameters of the LOBSTER model, with default values from previous studies (Lévy et al., 2005; Kremer et al., 2009).

Symbol	Definition	Value	Unit
Nutrient-related parameters			
K_{NO_3}	NO_3 half saturation constant	0.7 e-6	mmolN.m ⁻³
K_{NH_4}	NH_4 half saturation constant	0.001 e-6	mmolN.m ⁻³
ψ	Inhibition of NO_3 uptake by NH_4	3	unitless
λ_{NH_4}	NH_4 nitrification rate	0.05	d ⁻¹
Phytoplankton growth and death			
α	Photosynthesis-irradiance (PI) initial slope	1.82	d ⁻¹ .W ⁻¹ .m ² .gC.gChl ⁻¹
μ_m	Maximal growth rate of phytoplankton	1	d ⁻¹
δ	Excretion ratio of phytoplankton	0.05	unitless
m_P	Phytoplankton mortality rate	0.05	d ⁻¹
Zooplankton grazing and mortality			
K_g	Grazing half saturation constant	1 e-6	mmolN.m ⁻³
g	Maximal zooplankton grazing rate	0.8	d ⁻¹
a_Z	Assimilated food fraction	0.7	unitless
λ_Z	Exsudation rate of zooplankton	0.07	d ⁻¹
m_Z	Zooplankton mortality rate	0.12 e+6	d ⁻¹ .mmolN ⁻¹ .m ³
\tilde{p}	Zooplankton preference for detritus	0.8	unitless
f_Z	Fraction of slow sinking mortality	0.5	unitless
Remineralization			
λ_{DOM}	Remineralization rate of DOM	0.006	d ⁻¹
f_n	NH_4 /DOM redistribution ratio	0.75	unitless
w_D	Detritus sedimentation speed	3	m.d ⁻¹
λ_D	Remineralization rate of detritus	0.05	d ⁻¹

Table 4: Equations of the different phytoplankton growth formulations. P1.0: Redfield formulation with constant Chl:C ratio. P1.5: Redfield formulation with diagnostic Chl:C ratio. P2.5: Cell-quota formulation with diagnostic Chl:C ratio. P3.0/GP3.0: Cell-quota formulation with prognostic Chl:C ratio. The definition of the parameters and their default values are presented in Tables 2 and 5. Source minus sink functions (*sms*) are only for prognostic variables (in bold).

Model(s)	Definition	Equation
P1.0	Phytoplanktonic nitrogen	$sms(P_N) = (1 - \delta).uptake - G_P - m_P.P_N$
	Phytoplanktonic carbon	$P_C = R_{C:N}.P_N$
	Chlorophyll	$P_{Chl} = R_{Chl:C}.P_C$
	Nitrogen uptake	$uptake = \mu_m.L_N.L_I.P_N$
	Primary production	$prod = R_{C:N}.uptake$
P1.5	Phytoplanktonic nitrogen	$sms(P_N) = (1 - \delta).uptake - G_P - m_P.P_N$
	Phytoplanktonic carbon	$P_C = R_{C:N}.P_N$
	Chlorophyll	$P_{Chl} = \left(R_{Chl:C}^{Min} + \frac{(R_{Chl:C}^{Max} - R_{Chl:C}^{Min}).2.\mu_m.L_N}{2.\mu_m.L_N + (R_{Chl:C}^{Max} - R_{Chl:C}^{Min}).\alpha.PAR} \right).P_C$
	Nitrogen uptake	$uptake = \mu_m.L_N.L_I.P_N$
	Primary production	$prod = R_{C:N}.uptake$
P2.5	Phytoplanktonic nitrogen	$sms(P_N) = (1 - \delta).uptake - G_P - m_P.P_N$
	Phytoplanktonic carbon	$sms(P_C) = prod - \zeta.uptake - G_P.\frac{P_C}{P_N} - m_P.P_C$
	Chlorophyll	$P_{Chl} = \left(R_{Chl:C}^{Min} + \frac{(R_{Chl:C}^{Max} - R_{Chl:C}^{Min}).2.\mu_m.L_N}{2.\mu_m.L_N + (R_{Chl:C}^{Max} - R_{Chl:C}^{Min}).\alpha.PAR} \right).P_C$
	Nitrogen uptake	$uptake = \rho_m.L_Q^N.L_N.P_C$
	Primary production	$prod = \mu_m.L_Q^I.L_I.P_C$
	Quota-limitation of uptake	$L_Q^N = \left(\frac{Q_{max} - Q}{Q_{max} - Q_0} \right)^n$
	Quota-limitation of prod.	$L_Q^I = \frac{Q - Q_0}{Q_{max} - Q_0}$
P3.0	Phytoplanktonic nitrogen	$sms(P_N) = (1 - \delta).uptake - G_P - m_P.P_N$
GP3.0	Phytoplanktonic carbon	$sms(P_C) = prod - \zeta.uptake - G_P.\frac{P_C}{P_N} - m_P.P_C$
	Chlorophyll sms	$sms(P_{Chl}) = prod_{Chl} - G_P.\frac{P_{Chl}}{P_N} - m_P.P_{Chl}$
	Nitrogen uptake	$uptake = \rho_m.L_Q^N.L_N.P_C$
	Primary production	$prod = \mu_m.L_Q^I.L_I.P_C$
	Chlorophyll production	$prod_{Chl} = \frac{R_{Chl:N}^{Max}.14}{\alpha.PAR.P_{Chl}}.prod.uptake$
	Quota-limitation of uptake	$L_Q^N = \left(\frac{Q_{max} - Q}{Q_{max} - Q_0} \right)^n$
	Quota-limitation of prod.	$L_Q^I = \frac{Q - Q_0}{Q_{max} - Q_0}$

Table 5: Parameters of the different phytoplankton growth formulations and associated default values from Geider et al. (1998).

Symbol	Definition	Default	Unit	Models
Constant ratios				
$R_{Chl:C}$	Chlorophyll:Carbon ratio	1/60	gChl.gC ⁻¹	P1.0
$R_{C:N}$	Phytoplankton C:N Redfield ratio	6.56	molC.molN ⁻¹	P1.0 P1.5
Diagnostic chlorophyll				
$R_{Chl:C}^{Min}$	Minimum Chl:C ratio	1/200	mgChl.mmolC ⁻¹	P1.5 P2.5
$R_{Chl:C}^{Max}$	Maximum Chl:C ratio	1/30	mgChl.mmolC ⁻¹	P1.5 P2.5
Nutrient uptake				
ρ_m	Maximum uptake rate (defined by $\rho_m = \mu_m \cdot Q_{max}$)	0.2	molN.molC ⁻¹ .d ⁻¹	P2.5 P3.0
ζ	Cost of nitrogen assimilation	3	mol C.mol N ⁻¹	P2.5 P3.0
Phytoplanktonic cell quotas				
Q_0	Minimum value of Q	1/20	mol N.mol C ⁻¹	P2.5 P3.0
Q_{max}	Maximum value of Q	1/5	mol N.mol C ⁻¹	P2.5 P3.0
n	Shape factor	1	-	P2.5 P3.0
Chlorophyll synthesis				
$R_{Chl:N}^{Max}$	Maximum Chl:N ratio	2	gChl.gN ⁻¹	P3.0

Table 7: Parameter range allowed for optimization. Each parameter was binary coded on 6 bits (and had then 64 possible values).

Parameter	Lower bound	Upper bound	Increment
α	0.3	12.9	0.2
μ_m	0.1	6.4	0.1
K_g	1.0e-7	32.5e-7	0.5e-7
g	0.1	6.4	0.1
ζ	1.00	4.15	0.05
$R_{Chl:N}^{Max}$	0.1	6.4	0.1

Table 8: Optimized parameters and associated cost functions (F).

Parameter	Default values	Optimized values				
		P1.0	P1.5	P2.5	P3.0	GP3.0
α	1.82	1.7	1.1	2.3	1.7	2.1
μ_m	1	0.3	0.6	1.0	1.7	0.6
K_g	10.0e-7	23.0e-7	18.0e-7	22.5e-7	23.0e-7	26.0e-7
g	0.8	5.0	4.2	5.1	5.3	5.1
ζ	3.00	-	-	3.52	3.24	3.36
$R_{Chl:N}^{Max}$	3	-	-	-	6.4	5.6
F after optimization		0.855	0.823	0.790	0.802	0.773
F with default value		1.118	1.217	1.1217	1.120	1.052

Table 9: Total productions, new production, and f-ratio (new production/total production in nitrogen) simulated at BATS in 1998 after optimization.

Annual values				
Model	Total Production ($molC/m^2$)	Total Production ($molN/m^2$)	New Production ($molN/m^2$)	f-ratio
P1.0	2.495	0.380	0.126	0.33
P1.5	2.647	0.403	0.133	0.33
P2.5	3.903	0.415	0.163	0.39
P3.0	3.728	0.421	0.134	0.32
GP3.0	3.970	0.399	0.135	0.34
Bloom period (Mars to April)				
Model	Total Production ($molC/m^2$)	Total Production ($molN/m^2$)	New Production ($molN/m^2$)	f-ratio
P1.0	0.855	0.130	0.064	0.49
P1.5	1.014	0.154	0.076	0.49
P2.5	1.292	0.143	0.062	0.43
P3.0	1.309	0.153	0.073	0.48
GP3.0	1.240	0.136	0.066	0.48
Oligotrophic period (July to August)				
Model	Total Production ($molC/m^2$)	Total Production ($molN/m^2$)	New Production ($molN/m^2$)	f-ratio
P1.0	0.424	0.064	0.016	0.25
P1.5	0.403	0.061	0.012	0.20
P2.5	0.678	0.069	0.017	0.25
P3.0	0.605	0.066	0.013	0.20
GP3.0	0.725	0.071	0.019	0.27

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